

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form for Entities for Camissa Global Equity Fund or Camissa Islamic Global Equity Fund (the "Fund")

**For assistance in completing this form, please contact us at + (353)1 434 5122**

**Before  
you start**

This Account Opening Form should be read in context of and together with the latest Prospectus of the ICAV, Supplement of the Fund as well as the Key Investment Information Document (KIID) (collectively referred to as the "Prospectus"), and save where otherwise defined in this Account Opening Form, all capitalised terms shall have the same meaning as in the Prospectus. The Prospectus is obtainable from the Administrator: Camissa\_TA\_Queries@ntrs.com. Please note that separate forms are required for initial and/or subsequent (repeat) subscriptions and redemptions of Shares. These forms can be obtained from the Administrator.

Details of share classes, minimum initial investment and fees for each class are set out in the Prospectus.

- 1 **This duly signed Account Opening Form together with all additional documents required in Section 6 of this document, and valid signed FATCA/CRS forms are required before an account can be opened. No proceeds are to be sent until confirmation is received.**

Completed forms can be sent by fax or email (see Contact details below) along with **all additional documents required in Section 6** of this document.

Once signed Account Opening Form and full documentation as per Section 6 is received, the Administrator will send the account number confirmation to the authorised contact(s) upon which you can then place dealing instructions.

No redemption or conversion or transfer requests will be processed unless all documentation requested at the time of application for Shares (including anti-money laundering information) has been obtained, all anti-money laundering procedures have been completed and the original of the Application Form has been received by the Registrar and Transfer Agent.

Subscription instructions and proceeds must not be forwarded until the account number confirmation is issued to you by the Administrator. **Please note this may take up to 5 business days.**

**Incomplete Account Opening Forms (where compulsory information verification documents have not been provided in advance) will be rejected and any subscription monies received will be returned.** If an application is rejected, the Administrator at the cost and risk of the Applicant will, subject to any applicable laws, return application monies or the balance thereof, without interest, expenses or compensation by electronic transfer to the account from which it was paid (less any applicable bank charges where applicable).

- 2 Any amendments to an investor's registration details or payment instructions will only be effected on receipt of appropriate documentation.
- 3 Per the Central Bank of Ireland's requirement, payment of redemption proceeds will not be released until accounts are fully cleared and verified.
- 4 Payment for shares applied for should only be made by electronic transfer to the appropriate bank account which will be provided to you with confirmation of open account.
- 5 Cleared subscription monies to be received no later than 5pm (Irish time) two (2) Business Days after the relevant Dealing Day.

The following should accompany your Application:

- a) Anti money laundering documentation, as set out in Section 6
- b) Bank statement or banker's reference, required to confirm bank account details.
- i) Please ensure that the actual payment received at our correspondent bank is the same as that shown on the application form (ie after the deduction of any bank charges).
- ii) No third party payments will be accepted. Investors must ensure that the remitting bank has received the appropriate authorisation to release the necessary information to process this telegraphic transfer. If the verification is not forthcoming the monies will have to be returned to their source.
- iii) Your bank must show your name as a reference on the transfer in their SWIFT message. Your bank must also fax confirmation of the transfer to the Administrator. Please ensure these details are included in your instructions to your bank.
- iv) If the Administrator does not receive satisfactory proof of payment by fax or email, together with a completed application form giving us full details of the investment, the Administrator will not be held liable for any delay that may occur in processing the application
- v) Any unidentifiable monies will be refunded to their source.

Please initial every page where indicated.

**Important  
information**

Camissa Global Asset Management ICAV (the "ICAV")  
Account Opening Form for entities for  
Camissa Global Equity Fund or  
Camissa Islamic Global Equity Fund (the "Fund")

**For assistance in completing this form, please contact us at + (353)1 434 5122**

Our  
contact  
details

**Tel:** + (353)1 434 5122

**Fax:** + (353)1 553 9413

**Email:** [Camissa\\_TA\\_Queries@ntrs.com](mailto:Camissa_TA_Queries@ntrs.com)

**Website:** [www.camissa-am.com](http://www.camissa-am.com)

**Physical address**

Camissa Global Equity Fund  
or Camissa Islamic Global Equity Fund  
c/o Northern Trust International  
Administration Services (Ireland) Limited  
City East Plaza - Block A, Towlerton  
Ballysimon Road, Limerick  
Ireland, V94 X2N9.

Instructions

**Investors must complete ALL sections. NB this form to be used only by entities, not individuals.**

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 1. APPLICANT DETAILS (Please use BLOCK CAPITALS) \*

- |   |   |
|---|---|
| <input type="checkbox"/> Corporation<br><input type="checkbox"/> Partnership/Trust<br><input type="checkbox"/> Non-Profit Organisation (Foundation)<br><input type="checkbox"/> Other | <input type="checkbox"/> Nominee<br><input type="checkbox"/> Fund of Funds<br><input type="checkbox"/> Financial Intermediary |
|---|---|

Registered Name(s) of all Applicants (including Joint Applicants):

Full Residential or Registered Address:

Contact Name:

Tel No:

Email:

Fax No:

Send Contract Note by:

*Email*

*Fax*

Intermediary:

Intermediary/Fax:

Intermediary/Email:

Regulator of Intermediary:

Jurisdiction of Intermediary:

Webpage of intermediary showing evidence of regulation:

**\* Should there be a need for further requirements or additions please use the space at the end of the form.**

<sup>1</sup> All regular correspondence will be distributed via email / fax; please contact the Administrator if this causes significant issues for the Applicant.

**Please note that by completing this Application Form, you are providing personal data to the ICAV for the purposes of applying for Shares in the ICAV. Please see the attached Data Privacy Notice for further information.**

## 2. INVESTMENT DETAILS

**Subscription proceeds not to be transferred until receipt of confirmation that account has been opened**

**Please tick the fund and share class for which you are subscribing. Please see the minimum investment amount for each share class indicated.**

**Camissa Global Equity Fund**

**Camissa Islamic Global Equity Fund**

**USD Class A**

**USD Class B**

**USD Class C**

**Minimum initial investment:**  
\$10,000

**Minimum initial investment:**  
\$1,000,000

**Minimum initial investment:**  
\$10,000

**Insert subscription amount in numbers USD:**

**Insert subscription amount in words**

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 3. SUBSCRIPTION BANK DETAILS

Bank details to follow with account opening confirmation

## 4. CLIENT BANK DETAILS<sup>1</sup>

Intermediary Bank:

SWIFT Code:

Bank Name:

Branch Name:

IBAN:

Account Name:

Account Number:

Sort Code:

**Note: Please include bank statement or banker's reference containing the above bank details. No third party payments will be undertaken. A request to change bank account details in future must be made in writing to the administrator, requiring an original signed instruction, and must be accompanied by a bank statement or banker's reference.**

In the event of a declaration of dividends (**Camissa Islamic Equity Fund only**), please indicate by ticking the relevant box, whether your preference is to have any dividend payments reinvested in the Fund or received in cash:

Reinvested

Cash payment

<sup>1</sup> This is in relation to where the sale proceeds are to be sent and those electing for income shares to receive their dividend payments. (Please note that if no option is indicated, distribution and dividend payments will be reinvested.) Please also refer to the Dividend Policy in the Supplement of the Fund.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 5. REPRESENTATIONS, DECLARATIONS AND WARRANTIES

I/We represent, warrant and declare that:

### GENERAL

1. I/We hereby acknowledge as part of this application that I/we have been provided with in good time prior to completing this Application Form and have read and understood the contents of the Prospectus of the ICAV, applicable Fund Supplement, the instrument of incorporation of the ICAV and key investor information document(s) ("KIID(s)") and where available the most recent annual or half-year reports and accounts for the ICAV applicable to the Fund (the "Fund Documentation") and furthermore that this application is based solely upon the terms thereof, and subject to the provisions of the ICAV's instrument of incorporation. I/we acknowledge that I am/we are aware of the potential risks associated with this investment and where appropriate have sought professional advice on matters of taxation and such other consequences applicable to the investment. I/We hereby acknowledge and agree that the updated KIID for each Share Class is available at the Investment Manager's website at [www.camissa-am.com](http://www.camissa-am.com) and that I/we will read and review the most up-to-date version of the relevant KIID prior to making any subsequent application for Shares in the Fund. I/We request and authorise Northern Trust International Administration Services (Ireland) Limited to act in accordance with my/our instructions.
2. I/We confirm that I am/we are 18 years of age or over (**delete if you are not a natural person**). I am/We are not making this application on behalf of any person under the age of 18 years.
3. **If you are joint applicants** – We direct that, on the death of one of us, the Shares for which we are applying shall be held in the name of and to the order of the survivor(s) or the executor(s) or administrator of the last such survivor (**delete if you are not a natural person or you are a sole investor**).
4. I/We hereby agree to indemnify and hold harmless the ICAV, KBA Consulting Management Limited (the "Manager"), the Administrator, the Depositary, the Investment Manager, and their respective directors, officers and employees and other Shareholders against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) suffered by them which may result directly or indirectly, from: (i) any misrepresentation or breach of any representation, declaration, warranty, condition covenant, or agreement set forth herein or in any document delivered to by me/us to the ICAV or the Administrator; (ii) me/us acquiring or holding Shares in the Fund where, by virtue of my / our holding, I am / we are in breach of the laws of any competent jurisdiction; and (iii) the Administrator or the ICAV acting on an instruction reasonably believed to be genuine in accordance with the declaration at number 5.
5. The Administrator, the Manager and the ICAV are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this Application Form relates given by me/us in written form, or by facsimile or email. The ICAV, the Manager and the Administrator will not be responsible or liable for the authenticity of instructions received from us or any authorised person and may rely upon any instruction in good faith from any such person representing himself to be a duly authorised person reasonably believed to be genuine.
6. I/We acknowledge that the Administrator will refuse to process a redemption request until the Administrator has received an original of this Application Form and until all required original anti-money documentation has been received by the Administrator.
7. I/We accept such lesser number of Shares if any, than may be specified above in respect of which this application may be accepted.
8. I/We agree to notify the ICAV or the Administrator immediately if I/we become aware that any of the representations and declarations made is no longer accurate and complete in all respects. I/we agree immediately either to sell or to tender to the ICAV for redemption of a sufficient number of Shares to allow the representations to be made again.
9. I/We understand that the confirmations, representations, declarations and warranties made herein are continuing and apply to all subsequent purchases of Shares by me/us in the Fund and I/we agree to provide on request such certifications, documents or other evidence as the ICAV or the Administrator may reasonably require to substantiate such representations. I/we hereby confirm that for any subsequent investments I/we will obtain and read the latest version of the appropriate Fund Documentation prior to each subscription.
10. **If you are an intermediary:** I/We confirm that:
  - (i) that evidence of verification has been obtained and recorded in accordance with the laws of the my/our jurisdiction as identified on page 1;
  - (ii) the names of our clients have been compared against the following sanction lists: (a) EU; (b) UN and (c) OFAC;
  - (iii) that all documentary evidence of verification process will be retained for at least 6 years after the client has redeemed in full;
  - (iv) this documentary evidence of verification will be made available on demand; and
  - (v) I will provide such documentary support and comfort letters as may be required pursuant to applicable law on request of the Administrator;
  - (vi) prior to accepting any order for the acquisition of Shares, I/we will ensure that the investor will be provided with the current KIID for the relevant Share Class in the Fund as well as all other Fund documentation identified in the declaration at point 1 above.

**If you are not an intermediary then please put a line through Part 10**

Account Opening Form (entities)

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Initial:

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

11. **Receipt of the KIID by means of a website:** I/We represent and acknowledge that:

- (i) I/We have been offered the choice of receiving the Prospectus, Supplement and the KIID on paper and in electronic form by means of a website or by email and hereby specifically consent to receiving the KIID in electronic form by email or by accessing the latest version of the document online at [www.camissa-am.com](http://www.camissa-am.com) (or such other website as may be notified to me/us from time to time) and acknowledge that I/we shall be deemed to have been provided with an up-to-date KIID by means of the website in good time before any subsequent/future subscriptions.
- (ii) I/We received or accessed by electronic means the KIID.
- (iii) The KIID shall be reviewed at least every 12 months. I/We agree that I/we will inspect the KIID by accessing the website in good time before making any subsequent and/or future subscriptions for Shares in any Class of the Fund. I/We agree that I/we bear sole responsibility for ensuring that I/we have inspected the up-to-date version of the KIID and that, in any event.
- (iv) I/we may at any time request a hard copy of any such documentation from the Fund free of charge and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically or to subscribe or redeem Shares of the Fund electronically.

12. I/We confirm that I/we have read in detail the Data Privacy Notice appended to this Application Form. I/We acknowledge that this document provides an outline of my/our data protection rights and the ICAV's data protection obligations as they relate to my/our investment in the ICAV.

## TAXATION

13. I/We agree to provide to the ICAV and the Administrator at such times as each of them may request such declarations,
- (a) under (i) sections 1471 to 1474 of the U.S. Internal Revenue Code of 1986 or any associated regulations, (ii) any treaty, law, regulation or other official guidance of any other jurisdiction, or relating to an intergovernmental agreement between the U.S. and any other jurisdiction, which (in either case) facilitates the implementation of any law or regulation referred to in paragraph (i) above, or (iii) any agreement pursuant to the implementation of any treaty, law or regulation referred to in paragraph (i) or (ii) above with the IRS, the U.S. government or any governmental or tax authority in any other jurisdiction ("FATCA"); and
  - (b) under the OECD Standard for Automatic Exchange of Financial Account Information in Tax Matters, Council Directive 2011/16/EU (as amended by Council Directive 2014/107/EU), any guidance or documentation published by the OECD, as applicable, and any domestic legislation, policy, statement, precedent or guidance relating to the implementation of the CRS in Ireland, as applicable, ("CRS").

Should any information furnished to any of the ICAV and the Administrator under (a) and (b) above (the "Tax Information") become inaccurate or incomplete in any way, I/we hereby agree to notify the ICAV or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the ICAV.

14. By providing the Tax Information, I/we represent and warrant the completeness and accuracy of such information (as at the date of submission) and authorise the ICAV to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. I/we will, on demand, hold the ICAV harmless from any liability resulting from the my/our failure to provide complete and accurate Tax Information

I/We hereby acknowledge that if I/we fail to provide the Tax Information on a timely basis, I/we may be subject to 30% U.S. withholding tax (in respect of FATCA) on the investor's share of "withholdable payments" (as defined for purposes of FATCA) received by the ICAV.

I/We hereby acknowledge that if I/we fail to provide the Tax Information and such failure results in the ICAV being unable to comply with its obligations under FATCA and CRS, the ICAV may exercise its right to completely redeem an applicant (at any time upon any or no notice). I/we further acknowledge and agree to indemnify the ICAV and its other investors for any losses resulting from our failure to meet its obligations under this Section, including any U.S. withholding tax imposed on the ICAV.

I/We hereby acknowledge that under the CRS, the ICAV and/or the Administrator, as applicable, will be required to share my/our personal data (e.g. name, address, taxpayer identification number, jurisdiction of residence and, in the case of individual investors, place and date of birth) (including financial information with respect to my/our interests in the Shares (e.g. account number, account balance or value at year end and payments made with respect to the account during the calendar year)) with the Irish Revenue Commissioners. The Irish Revenue Commissioners may, in turn, exchange this information with foreign tax authorities (including foreign tax authorities located outside the EEA). Please consult the AEOI (Automatic Exchange of Information) webpage on the Irish Revenue Commissioners' website at <http://www.revenue.ie/en/business/aeoi/index.html> for further information in this regard.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 6. ANTI-MONEY LAUNDERING DOCUMENTATION REQUIREMENTS

**Due Diligence Documentary Requirements in accordance with The Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 – 2018. All documents required must be provided in advance before an account can be opened.**

### 1. Guide to completing this section of the application form.

**Part I** Purpose of the Investment

**Part II** This section must be completed by all applicants

**Part III** Please provide the due diligence documentation outlined in this section

### 2. Certification of Documents - Required for High Risk countries

In terms of certifying a document as a true copy, certification means that there is an original signature on the document from a suitable person that can be independently verified.

- An authorised signatory of a Regulated Financial Institution or Credit Institution
- An authorised signatory of a Financial Adviser
- A Justice of the Peace
- A Notary Public or Practising Solicitor
- A Practising Chartered or Certified Public Accountant
- A person authorised to sign on behalf of an Embassy or a Consul
- A practicing Barrister

Certification of each document should include:

A signed statement confirming that this document is a true and accurate copy of the original document as seen by the person certifying the document.

The name (in block capitals), occupation and contact details (address, telephone number and email address) of the person certifying the document, as a true copy of the original document.

The date of certification of the document, as a true copy of the original document.

**Note 1:** Where the document, being certified as a true copy of the original document, contains a photograph, the person certifying the document as a true copy should also confirm that this is a true likeness of the person named in the document.

**Note 2:** The document being provided as a true and accurate copy of the original document must include a wet ink signature of the person certifying the document as a true and accurate copy of the original document (i.e. no scanned or photocopied copies of the document being certified as a true copy of the original document, can be accepted).

**Note 3:** For multiple page documents, the certifier should sign or initial each page of the copy and confirm on the first or last page of the document the actual number of pages in the document.

**Note 4:** Where available, the statement of certification as a true copy of the sighted original should be accompanied by the professional stamp or seal of the certifier.

**Note 5:** When certifying documents as true copies, the certifier should not be a close family member of, or have any apparent or potential conflicts of interest with, the investor.

### 3. English Translations

Where due diligence documents are provided in a language other than English, the prospective investor should, at the same time, provide a relevant extract translated by an independent source confirming also the adequacy of the foreign language documents.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## Due Diligence Information - Purpose of the Investment

**Part I to be completed only by natural persons and legal persons investing in their own name for their own benefit. Institutional investors and legal entities investing as part of their normal economic activity of investing in their own name for the benefit of one or more third parties are not required to complete Part I. This information is required to meet our AML obligations under the current AML legislation in Ireland and will be used solely for those purposes.**

What is the expected annual subscription to the Fund \_\_\_\_\_

How frequently do you expect to trade in the Fund (please tick):

Once-off subscription       Once a: Month       Quarter       Year

If more frequently than the options provided, please provide an estimate of frequency: \_\_\_\_\_

Please indicate the term of your investment (please tick):

3-5 years       5-10 years       10+ years

## Due Diligence Documentation - Investor Type

### All investors

**Please tick investor type below (Please note, investor type indicates the documents required to open account):**

Private/ Unlisted Company	<input type="checkbox"/>	Charities	<input type="checkbox"/>
Partnership	<input type="checkbox"/>	Trusts/ Foundations	<input type="checkbox"/>
Listed Company	<input type="checkbox"/>	Collective Investment Scheme/Fund	<input type="checkbox"/>
Subsidiary of a Listed Company	<input type="checkbox"/>	School, college or university	<input type="checkbox"/>
Regulated Credit or Financial Institution	<input type="checkbox"/>	Pension Schemes	<input type="checkbox"/>
Nominee Company	<input type="checkbox"/>	Trade Unions	<input type="checkbox"/>
Government Body or Public Authority	<input type="checkbox"/>	Church	<input type="checkbox"/>

Other- Please specify: \_\_\_\_\_

Occupation/Business Activity

If you, or any beneficial owners, are a Politically Exposed Person, please check the box

*A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 12 months, been entrusted with a prominent public function.*

## Due Diligence Documentation - Leverage Across Investments

I hereby authorize NTIFASIL (the administrator) to leverage the due diligence documentation provided for the purposes of compliance with applicable regulatory requirements across all investments which I currently hold / may hold in the future across all funds which are administered by NTIFASIL.

## Due Diligence Documentation - Ultimate Beneficial Owner

Please complete the relevant section to your entity type. The Ultimate Beneficial Owner section must be completed. Non-completion could delay acceptance of subscription.

### Corporate Entities

Ultimate Beneficial Owners are individuals who directly or indirectly hold ownership of 25% or more of the shares or voting rights in an entity, or otherwise exercise control of the entity.

\* In cases where shareholder(s) are entities with 25% or more ownership or control, please provide the details of Individual(s) who hold beneficial ownership 25% or more. For Trusts or similar arrangements, Ultimate Beneficial Owners include the beneficiaries, the settlor, the trustee(s) and the protector (if any). The beneficiaries are the individuals benefiting from the trust or similar legal arrangement.



# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

Please complete below:

Name	Address	% Ownership or Control Function	Nationality	Date of birth

For Trusts or similar arrangements, where the beneficiaries are not yet been determined, the class of persons in whose main interest the trust or similar legal arrangement has been set up should be stated (please provide an additional document/ information as required):

**Note:** If there is/ are no Individual(s) with a beneficial interest of 25% or more (either directly or indirectly) of the shares or voting rights of the entity, or anyone that otherwise exercises control of the entity (where applicable), please check the box.

Declaration - I/We declare that the information contained in this form and the attached documentation, if any, is true and accurate to the best of my/our knowledge and belief.

Signature 1 \_\_\_\_\_ Print name \_\_\_\_\_ Date \_\_\_\_\_

Signature 2 \_\_\_\_\_ Print name \_\_\_\_\_ Date \_\_\_\_\_

**Related Person Detail**

Full names of all Related Persons:

- In the case of a company, Related Persons means the directors.
- In the case of a Partnership, Related Persons means the partners.
- In the case of a limited liability company (LLC), Related Persons means the managing members.
- In the case of a trust, foundation or similar legal arrangement, Related Persons means the trustees.
- In the case of a charity/government body/ university/ school/ college/ club/ society, Related Persons means the authorising officers/ board members/ officials.

Name	Address	Nationality	Date of Birth

**Please refer to Appendix 1 for Document Submission Requirements**

Initial:

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 7. FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARD ("CRS") FORMS

### ENTITY SELF-CERTIFICATION FOR FATCA AND CRS

#### Instructions for completion and data protection notice.

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link:

<http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

**Account holders that are Controlling Persons should not complete this form and should complete the form entitled "8. Controlling Persons Self-Certification for FATCA and CRS".**

**(Mandatory fields are marked with an \*)**

\*Account holder Name:  (the "Entity")

Country of Incorporation or Organisation:

#### \*Current (Resident or Registered) Address:

Number:  Street:

City, town, State, Province or County:

Postal/ZIP Code:  Country:

#### Mailing address (if different from above):

Number:  Street:

City, town, State, Province or County:

Postal/ZIP Code:  Country:

#### \*7.2: FATCA Declaration:

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a **Specified US Person** and the Entity's US Federal Taxpayer Identifying number (US TIN) is as follows:

US TIN:

Or

b) The Entity is **not a Specified US Person** (Please also complete 7.3, 7.4 and 7.5)

Or

c) The Entity is a **US person but not a Specified US Person** (please also complete 7.4 and 7.5)

Indicate exemption:

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

**\*7.3: Entity's FATCA Classification (the information provided in this section is for FATCA, please note your FATCA classification may differ from your CRS classification in 7.5):**

**7.3.1 Financial Institutions under FATCA:**

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 7.3.2 or indicate at 7.3.3 the reason why you are unable to provide a GIIN.

- I. *Irish Financial Institution* or a *Partner Jurisdiction Financial Institution*
- II. *Registered Deemed Compliant Foreign Financial Institution*
- III. *Participating Foreign Financial Institution*

**7.3.2** Please provide the Entity's **Global Intermediary Identification number ("GIIN")**

						.						.			.				
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**7.3.3** If the Entity is a **Financial Institution** but unable to provide a GIIN, please tick one of the below reasons:

**Sponsor's Name:**

**∞NOTE:** this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have US reportable accounts are not required to register and obtain a GIIN with the IRS unless and until US reportable accounts are identified.

II. **The Entity is an Exempt Beneficial Owner,**

Please tick and confirm the category of Exempt Beneficial Owner;

- i)  Government Entity
- ii)  International Organisation
- iii)  Foreign Central Bank
- iv)  Exempt Retirement Fund
- v)  Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners

III. **The Entity is a Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the IGA Agreement)**

Indicate exemption:

IV. **The Entity is a Non-Participating Foreign Financial Institution**

V. **The Entity is an Excepted Foreign Financial Institution**

Indicate exemption:

VI. **The Entity is a Trustee Documented Trust. Please provide your Trustee's name and GIIN**

**Trustee's Name:**

**Trustee's GIIN:**

						.						.			.				
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# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

**7.3.4. Non-Financial Institutions (Non-Foreign Financial Entity/NFFE) under FATCA:**

If the Entity is **not** a Financial Institution, please confirm the Entity's FATCA classification below by ticking one of the categories

- I. **Active Non-Financial Foreign Entity**
- II. **Passive Non-Financial Foreign Entity (NFF)** *(Please tick the box that applies)*
  - i) **Passive Non-Financial Foreign Entity with no Controlling Persons that are specified U.S Persons.**
  - ii) **Passive Non-Foreign Financial Entity with Controlling Persons that are specified US Persons.**   
*(If this box is ticked, please indicate the name of all natural Controlling Person(s) of the Entity in section 7.6.1 below and separately complete*
- III. **Excepted Non-Financial Foreign Entity**
- IV. **Direct Reporting NFFE**   
*Please provide your GIIN*  .  .  .

**\*7.4: Common Reporting Standard ("CRS") Declaration of Tax Residency**

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence and TIN's. <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers> .en.347759

**If the Entity is not tax resident in any jurisdiction (eg because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.**

**NOTE:** Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN)
- Or
- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞ If TIN unavailable Select (A, B or C) and check box below

**∞ If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:**

- Reason A** - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents
- Reason B** - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN if you selected Reason B)
- Reason C** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

**\*7.5: Entity's CRS Classification** (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in 7.3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

## 7.5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

*Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland. <http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf>*

I. **A Reporting Financial Institution resident in a participating CRS jurisdiction**

II. **A Financial Institution Resident in a Non-Participating Jurisdiction**

**An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution** (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in **section 6 below** and complete a separate individual self-certification forms for each of your Controlling Persons")

**Other Financial Institution**, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company

Governmental Entity

International Organisation

Central Bank

Broad Participation Retirement Fund

Narrow Participation Retirement Fund

Pension Fund of a Governmental Entity, International Organisation or Central Bank

Exempt Collective Investment Vehicle

Trust whose trustee reports all required information with respect to all CRS Reportable Accounts

Qualified Credit Card Issuer

Other Entity defined under the domestic law as low risk of being used to evade tax

Specify the type provided in the domestic law:

## 7.5.2 Non Financial Institutions ("NFE") under CRS:

If the Entity is a not defined as a Financial Institution under CRS then, please tick one of the below categories confirming if you are an Active or Passive NFE.

I. **Active Non-Financial Entity** – a corporation the stock of which is regularly traded on an established securities market.

Please provide the name of the established securities market on which the corporation is regularly traded:

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II. **Active Non-Financial Entity** – if you are a Related Entity of a regularly traded corporation.

Please provide the name of the regularly traded corporation that the Entity is a Related Entity of:

Please provide details of the securities market that the entity is listed on :

III. **Active Non-Financial Entity** – a Government Entity or Central Bank

IV. **Active Non-Financial Entity** – an International Organisation

V. **Active Non-Financial Entity** – other than those listed in I, II, III or IV above

(for example a start-up NFE or a non-profit NFE)

VI. **Passive Non-Financial Entity** ("If this box is ticked, please also complete Section 7.6.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s)

## 7.6: Controlling Persons

**NB: Please note that each Controlling Person** must complete a separate "Individual (including Controlling Persons) FATCA and CRS Self-Certification" form.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

If you have ticked a Passive NFE with Controlling Persons in either the FATCA or CRS Classification sections above, then please also complete this section for each of the Controlling Person(s) of the account holder and provide a separate "Individual (including Controlling Persons) FATCA and CRS Self-Certification" form for each Controlling person as per **6.2 below**.

I.

II.

III.

**Note: In case of a trust**, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

**7.6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS for each Controlling Person listed in Section 7.6.1.**

## \*7.7: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

**I acknowledge and consent** to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

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## Data Protection - Customer Information Notice:

The Common Reporting Standard ("**CRS**"), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information ("**AEoI**"), developed in the context of the Organisation for Economic Co-operation and Development ("**OECD**").

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/> and on the Irish Revenue website - <https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

**\*Authorised Signature(s):**

**\*Print Name(s):**

**\*Capacity in which declaration is made:**

**\*Date: (dd/mm/yyyy):**

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## 8. DECLARATION OF RESIDENCE

Applicants are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares. Terms used in this declaration are defined in the Prospectus.

### Declaration of Residence inside or outside Ireland (OWN BEHALF ONLY)

Please tick (i), (ii) or (iii) as appropriate

#### (i) Irish residents

I am/we are an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

OR

#### (ii) Exempt Irish residents

I am/we are an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of exempt Irish residents below.***

#### (iii) Non-Irish residents

I am/we are not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of residence outside Ireland below.***

### Declaration of Residence for the beneficial owner inside or outside Ireland (INTERMEDIARIES ONLY)

Please tick (i), (ii) or (iii) as appropriate

#### (i) Irish residents

As an Intermediary, I/we declare that the person who will be beneficially entitled to the units is an Irish resident who will cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. *Irish resident companies entitled to the lower rate of Investment Undertaking Tax are required to provide a statement on its letterhead confirming that the company is within the charge of corporation tax.*

OR

#### (ii) Exempt Irish residents

As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is an Irish resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of exempt Irish residents below.***

OR

#### (iii) Non-Irish residents

As an Intermediary, I/we declare that the person who will be beneficially entitled to the shares/units is not currently resident or ordinarily resident who will not cause the Fund to have an obligation to deduct and pay tax to the Irish Revenue Commissioners. ***If this box is ticked, please also complete the declaration of residence outside Ireland below.***

I/we\* declare that I am/we are\* applying for the shares on my own/our own behalf\* and that I am/we are\* entitled to the shares in respect of which this declaration is made and that

- I am/we are\* not currently resident or ordinarily resident in Ireland, and
- should I/we\* become resident in Ireland I will/we will\* so inform you, in writing, accordingly.

*\*Delete as appropriate*

### Declaration as Intermediary

I/we\* declare that I am/we are\* applying for shares on behalf of persons:

- who will be beneficially entitled to the shares; and,
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.



# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

I/we\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares made by me/us\* from the date of this application will be made on behalf of such persons; and,
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* holds shares, becomes resident in Ireland.

*\*Delete as appropriate*

Authorised signatory:

Authorised signatory:

Date:

## Declaration of exempt Irish resident entities

### Declaration referred to in Section 739D(6), Taxes Consolidation

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the shares on behalf of the applicant name below who is entitled to the units in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is: *(please tick as appropriate)*

- a pension scheme
- an investment undertaking
- an investment limited partnership
- a special investment scheme
- a unit trust to which section 731(5)(a) TCA 1997 applies
- a charity being a person referred to in section 739D(6)(f)(i) TCA 1997
- a qualifying management company
- entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA, 1997\* (see further requirement for Qualifying Fund Manager below)
- a PRSA Administrator
- a credit union within the meaning of section 2 of the Credit Union Act 1997

### Additional requirements where the declaration is completed on behalf of a charity

- I also declare that at the time of making this declaration, the units in respect of which this declaration is made are held for charitable purposes only and
  - form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
  - are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.
- I undertake that, in the event that the person referred to in paragraph (7) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

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## Additional requirements where the declaration is completed by a qualifying fund manager / PRSA Administrator

- I/we\* also declare that at the time this declaration is made, the units in respect of which this declaration is made
  - are assets of \*an approved retirement fund/an approved minimum retirement fund or a PRSA, and
  - are managed by the Declarant for the individual named below who is beneficially entitled to the units.
- I/we\* undertake that, if the units cease to be assets of \*the approved retirement fund/the approved minimum retirement fund or the PRSA, including a case where the units are transferred to another such fund or account, I/we\* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

\*Delete as appropriate

## Additional requirements where the declaration is completed by an Intermediary

- I/we\* also declare that I am/we are\* applying for shares/units on behalf of persons who
  - to the best of my/our\* knowledge and belief, have beneficial entitlement to each of the shares/units in respect of which this declaration is made, and
  - is a person referred to in section 739D(6) TCA 1997.
- I/we\* further declare that,
  - unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us\* from the date of this application will be made on behalf of persons referred to in section 739D TCA 1997, and

\*Delete as appropriate

Irish tax reference number of applicant:

Authorised signatory:

(declarant)

Capacity in which declaration is made:

Authorised signatory:

(declarant)

Capacity in which declaration is made:

Date:

## IMPORTANT NOTES

- 1 This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
- 2 Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the share/units.
- 3 In the case of, (i) an exempt pension scheme, the administrator must sign the declaration; (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration; (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of an approved retirement fund/an approved minimum retirement fund or a PRSA, it must be signed by a qualifying fund manager or PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. A copy of the power of attorney should be furnished in support of this declaration.

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## Declaration of residence outside Ireland

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in this declaration are defined in the Prospectus.

## Declaration on own behalf

I/we\* declare that I am/we are\* applying for the shares/units on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the shares/units in respect of which this declaration is made and that

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company\* become resident in Ireland I will/we will\* so inform you, in writing, accordingly.

\* Delete as appropriate

## Declaration as Intermediary

I/we\* declare that I am/we are\* applying for shares/units on behalf of persons:

- who will be beneficially entitled to the shares/units; and
- who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

\* Delete as appropriate

Signature of applicant or authorised signatory:

(declarant)

## Joint applicants:

Names

  

Signatures

  

## IMPORTANT NOTES

- 1 Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
- 2 To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign.
- 3 If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
- 4 Irish Residents who are seeking to apply for Shares should contact the Administrator for an Application Form which does not include the above declaration. Exempt Investors who are entitled to payment without deduction of tax should also request the appropriate alternative declaration form from the Administrator.

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## Data privacy notice

In accordance with the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003) (collectively, "Data Protection Legislation"), the ICAV being the data controller for the purposes of this application to subscribe for Shares in the ICAV, must provide you with information on how the personal data that you provide as part of your application to subscribe for Shares will be processed.

Where your details are provided to the ICAV as a consequence of your investment in the ICAV, then the ICAV, acting as a data controller may itself (or through a third party such as Maitland Administration (Ireland) Limited (the "Administrator") acting in its capacity as the ICAV's administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Administrator will act as a data controller. In connection with this, please note the following:

### 1. Contact details

The ICAV, as data controller, has appointed the Administrator as a data processor. The ICAV can be contacted via the Administrator at the following address:

#### **Camissa Global Asset Management ICAV in respect of Camissa Global Equity Fund or Camissa Islamic Global Equity Fund (the "Sub-Fund")**

##### **Administrator**

##### **Northern Trust International Fund Administration Services (Ireland) Limited**

City East Plaza - Block A,  
Towlerton, Ballysimon Road,  
Limerick,  
Ireland, V94 X2N9.  
Tel: +353 1 434 5122  
Fax: +353 1 553 9413

### 2. Purposes of processing and legal basis for processing

The personal data collected from you or provided by you or on your behalf in connection with your application for Shares may be processed by the ICAV or the Administrator (or any of their affiliates, agents, employees, delegates or sub contractors) for the following purposes:

#### *Performance of the contract*

- (a) to facilitate the opening of your account with the ICAV, the management and administration of your holdings in the ICAV and any related account on an on going basis (the "Services") which are necessary for the performance of your contract with the ICAV, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
- (b) to update and maintain records and fee calculation;
- (c) circulating periodic reports relating to the ICAV.

#### *Compliance with a legal obligation*

- (a) in order to carry out anti money laundering checks and related actions which the ICAV considers appropriate to meet any legal obligations imposed on the ICAV relating to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on going basis, in accordance with the ICAV's and the Administrator's anti money laundering procedures;
- (b) to report tax related information to tax authorities in order to comply with a legal obligation.

#### *Pursuing the legitimate interests of the ICAV including:*

- (a) in relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on going basis, in accordance with the ICAV's and the Administrator's anti money laundering procedures;
- (b) carrying out statistical analysis and market research;
- (c) recording, maintaining, storing and using recordings of telephone calls that you make to and receive from the ICAV, the Administrator, or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the ICAV and its affiliates' rights itself or in order to comply with any legal obligation imposed on the ICAV, (v) to pursue the ICAV's legitimate interests in relation to such matters or (vi) where the processing is in the public interest;

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## 9. FOR IRISH RESIDENT INVESTORS WHO ARE NOT EXEMPT INVESTORS - RETURN OF VALUES (INVESTMENT UNDERTAKINGS) REGULATIONS 2013

The Company must collect additional information in order to satisfy Return of Values (Investment Undertakings) Regulations 2013(S.I. 245 of 2013). Therefore any individual, company or any unincorporated body of persons which is Irish resident or ordinarily Irish resident and is not an Exempt Investor (as defined in the Prospectus) must provide the following additional information and documentation:

Tax Identification Number (TIN) / PPS Number and any one of the following additional documents are required to verify the TIN or PPS Number (either a copy or the original is sufficient): P60, P45, P21 Balancing Statement, Payslip (where employer is identified by name or tax number), Drug Payment Scheme Card, European Health Insurance Card, Tax Assessment, Tax Return Form, PAYE Notice of Tax Credits, Child Benefit Award Letter /Book, Pension Book, Social Services Card or Public Services Card. In addition, any printed documentation issued by the Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable. In the case of joint account holders, the additional documentation is required for each applicant.

## 10. SIGNATURE AND DECLARATION

By signing here, the Applicant is applying for Shares in the Camissa Global Equity Fund or Camissa Islamic Global Equity Fund on the terms of the Prospectus and this Application Form.

I / We declare that the information contained in this Application Form and the attached documentation, if any, is true and accurate to the best of my / our knowledge and belief.

I / We agree that the representations set forth above are continuous and will be deemed to be repeated in connection with all further purchases of Shares. I / We further agree to advise the Fund promptly of any violations of the representations set forth herein.

I / We declare that I / we will promptly notify the ICAV and the Administrator of any changes in the information, documentation or representations provided and in particular, any changes in the information or documentation provided in relation to AML/CFT or residency.

I / We declare that I am authorised to sign this Application Form on my/our own behalf or on behalf of the intermediary, agent or nominee (if applicable) and to make the representations and give the indemnities referred to herein.

In the case of Individual Applicants, simply sign the top line; in the case of Joint Applicants all applicants must sign.

Name of Authorised Signatory	Title	Signature	Date
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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- (d) to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the ICAV to improve its service delivery;
- (e) to disclose information to other third parties such as service providers of the ICAV, auditors, regulatory authorities and technology providers;
- (f) to retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator in pursuance of the Administrator's and its clients' legitimate interests.

**Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the ICAV will no longer process the personal data unless the ICAV can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.**

## Consent

Your consent is not required to process your personal data for the purposes referenced above. However, in order to process your personal data for the purposes of direct marketing, the ICAV seeks your consent. Please see the section below which deals exclusively with consent for that purpose

### 3. Consent to Direct Marketing

From time to time, one or more of the ICAV and/or its delegates (which may include, without limitation, the Administrator, the Investment Manager and the Depositary) may send you information about other products and services that they offer by letter, by telephone, by email or by other reasonable means of communication. You have a right not to receive such information.

If you consent to receive such information and/or marketing material, **please tick here**

You have a right to withdraw this consent at any time. However, your withdrawal of consent will not affect the lawfulness of processing based on consent before its withdrawal. You can withdraw your consent by contacting the ICAV c/o the Administrator at the address above. You also have a right to object to the processing of your personal data for direct marketing purposes.

### 4. Profiling and Screening

The ICAV and its appointed data processors engage in OFAC and politically exposed person screening for the purposes of complying with anti money laundering and counter terrorist financing legislation and with the UN, EU and other applicable sanctions regimes.

### 5. Disclosures to Data Processors and / or Third Parties

The ICAV may disclose your personal information as follows:

- (a) to third parties identified in the Prospectus and to entities that are companies within the same group of companies as such third parties in order to process the data for the above mentioned purposes. These third parties will handle your information in accordance with applicable Data Protection Legislation;
- (b) to other third parties such as the auditors to the ICAV or agents of the Administrator who process the information for anti-money laundering purposes or for compliance with foreign regulatory requirements; and
- (c) to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

### 6. Transfers Abroad

The disclosure of personal information to the third parties set out above may involve the transfer of data to South Africa and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the GDPR. Such countries may not have the same data protection laws as your jurisdiction. The ICAV has authorised the Administrator as its agent to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred. Please contact the Administrator for copies of the Standard Contractual Clauses that have been entered into on behalf of the ICAV.

### 7. Retention period

The ICAV and the Administrator will retain your personal information for as long as required for the ICAV or the Administrator to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate that the ICAV retains your personal information.

### 8. Your data protection rights

Please note that you have the following rights under the GDPR in relation to your personal information. In each case, the exercise of these rights is subject to the provisions of the GDPR:

- (a) You have a right of access to and the right to amend and rectify your personal data.
- (b) You have the right to have any incomplete personal data completed.
- (c) You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the ICAV infringes the GDPR.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

- (d) You have a right to request that your personal information is erased (in certain specific circumstances).
- (e) You have a right to restrict processing (in certain specific circumstances).
- (f) You have a right to data portability (in certain specific circumstances).
- (g) You also have the right to object to processing where personal data is being processed for marketing purposes and also where the ICAV is processing personal data for legitimate interests.

## 9. Failure to provide personal data

The provision by you of personal data, as outlined in the section above titled "Purposes of processing and legal basis for processing" is required for us to accept your subscription application and manage and administer your holdings in the ICAV and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data we will not be able to accept your application or to the extent that we do accept your application and the required personal data is not provided within the specified timeframe we may be required to discontinue our business relationship with you.

## How to contact us

If you have any questions about our use of your personal information, please contact us at **Camissa\_TA\_Queries@ntrs.com**.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## Appendix 1

### Due Diligence Documentation - Requirements

Outlined below is guidance on the documentation which the Fund/ICAV/ Manager & Northern Trust (as Administrator) will require when undertaking due diligence to establish the identity of an investor and verify same to the extent warranted by risk.

**The Fund/ICAV/Manager & Administrator hereby reserves the right to request such further information and/or documentation from investors as is necessary from time to time to satisfy Irish regulatory requirements.**

#### Examples of Photographic Identity Documentation

- Current passport
- Current driving licence
- Current national identity card
- Social welfare/revenue card or any identity document with photographic identity issued by a government department

#### Examples of Proof of Address

- Bank statements/credit card statements
- Utility bill
- Household/motor insurance certificate and renewal notices
- Correspondence from the revenue commissioners or equivalent
- Correspondence from any government body
- Driving licence which confirms the address provided it has not also been used to satisfy the photographic identity requirement above
- Payslip or salary advice dated within the previous 6 months
- Confirmation of address from a lawyer or financial institution

**Note: All documentation must reflect the current residential address and must be dated within the previous 3 months other than in the case of an official document known to be issued only or typically at fixed intervals of more than 3 months, in which case such document may be accepted during that period, to a maximum of 12 months (e.g. correspondence from local authorities).**

#### Letter of Assurance

Please contact Northern Trust for a template letter of assurance if required

**Note: Investors are required to notify the Fund/ICAV Manager & Northern Trust of any changes to the AML information which was previously provided (e.g. changes in directors/controllers or beneficial owners).**

#### **Equivalent Jurisdictions (i.e. jurisdictions where a presumption of comparability with Ireland may be made)**

Australia, Austria, Belgium, Brazil, Bulgaria, Canada, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Guernsey, Hong Kong, Hungary, Iceland, Ireland, Isle of Man, Italy, Japan, Jersey, Lithuania, Luxembourg, Malta, Netherlands (including Aruba), Norway, Poland, Portugal, Republic of Korea, Romania, Singapore, Slovakia, Slovenia, South Africa, Spain, Sweden, Switzerland, United Kingdom (including Gibraltar).

#### **Deemed High Risk Countries**

Afghanistan,Albania,Angola,Azerbaijan,Bahamas,Belarus,Belize,Benin,Bolivia,Bosnia and Herzegovina, Burma (Myanmar), Burundi, Cambodia, Cape Verde, Central African Republic, Chad, China, Colombia, Congo Democratic Republic, Congo Republic, Costa Rica, Cuba, Iran, Cyprus, Democratic People's Republic of Korea (North Korea), Democratic People's Republic of Korea (North Korea),Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Ghana, Guatemala, Guinea, Guinea Bissau, Haiti, Honduras, India, Iran, Democratic People's Republic of Korea (North Korea),Iraq, Ivory Coast (Cote d'Ivoire), Jamaica, Jordan, Kazakhstan, Kyrgyzstan, Laos, Latvia, Lebanon, Liberia, Libya, Liechtenstein, Macau, Maldives, Marshall Islands, Mauritania, Mexico, Moldova, Monaco, Montenegro, Morocco, Mozambique, Myanmar (Burma), Namibia, Nicaragua, Nigeria, Pakistan, Palau, Palestinian Territories, Panama, Peru, Russia, Saint Kitts and Nevis, Saudi Arabia, Senegal, Serbia, Sierra Leone, Somalia, South Sudan, Sudan, Swaziland, Syria, Tajikistan, Tanzania, Tunisia, Turkey, Turkish Cypriot Administered Cyprus, Turkmenistan, Ukraine, United Arab Emirates, Uzbekistan, Venezuela, Vietnam, Yemen & Zimbabwe.

**Note: Northern Trust reviews the risks associated with all locations on a regular basis. The risk associated with a location may change over time. Northern Trust reserves the right to apply a different risk rating than shown in this document.**



# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## Regulated Credit or Financial Institution

Where the applicant is a Regulated Credit or Financial Institution from one of the above referenced Equivalent Jurisdictions:

1. Please provide proof of regulation.

Where the applicant is a Regulated Credit or Financial Institution but is not regulated in a Equivalent Country please provide the following confirmations/documentation:

1. Full name of the company
2. Registered number of the company
3. Registered legal address and principal business address of the company
4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where the beneficial owner is deemed high risk per NT risk rating requirements, the identity should be verified in line with its legal form.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

6. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify any beneficial owner of the investment.
7. One or more of the following:
  - Extract from the relevant company registry providing details of the company; and/or
  - Copy of the certificate of incorporation or equivalent of the company; and/or
  - Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
  - Copy of the latest audited financial statements of the company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required**

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the company.
9. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner of the investment

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Nominee Company

**Where the applicant is a Regulated Nominee Company of a Credit or Financial Institution from one of the above referenced Equivalent Jurisdictions:**

1. Please provide proof of regulation.

**Where the applicant is an Unregulated Nominee Company of a Regulated Credit or Financial Institution from one of the above referenced Equivalent Jurisdictions please provide the following:**

1. Letter of Assurance from the Regulated Credit or Financial Institution.
2. Proof of regulation for the Regulated Credit or Financial Institution.

or

Please provide the following confirmations/documentation

1. Full name of the Nominee Company.
2. Registered number of the Nominee Company.
3. Registered legal address and principal business address of the Nominee Company.
4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Proof of regulation for the Regulated Parent Company.
6. Identify and verify any beneficial owner of the investment through the Nominee Company.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

7. One or more of the following:

- Extract from the relevant company registry providing details of the Nominee company; and/or
- Copy of the certificate of incorporation or equivalent of the Nominee Company; and/or
- Copy of the Memorandum and Articles of Association or equivalent of the Nominee Company; and/or
- Copy of the latest audited financial statements of the Nominee Company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Listed Company

1. Please provide proof of listing on a Regulated Market.

**Where the applicant is not a Listed Company on an above referenced regulated financial market please provide the following confirmations/documentation:**

1. Proof of listing of the company.
2. Full name of the company.
3. Registered number of the company.
4. Registered legal and principal business address of the company.
5. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
6. Identify and verify any beneficial owner beneficially entitled to 25% or more of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where the beneficial owner is deemed high risk per NT risk rating requirements, the identity should be verified in line with its legal form.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

7. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify any beneficial owner of the investment.
8. One or more of the following:
  - Extract from the relevant company registry providing details of the company; and/or
  - Copy of the certificate of incorporation or equivalent of the company; and/or
  - Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
  - Copy of the latest audited financial statements of the company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

9. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the company
10. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner of the investment.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Subsidiary of a Listed Company

1. Please provide proof of listing of the parent company on a Regulated Market.

**Where the parent company is not a Listed Company on an above referenced regulated financial market please provide the following confirmations/documentation:**

1. Full name of the subsidiary company.
2. Registered number of the subsidiary company.
3. Registered legal and principal business address of the subsidiary company.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

4. Details of directors of the company and verify the identity of two directors, or where applicable, one director and one authorised signatory.
5. Identify and verify any beneficial owner beneficially entitled to 25% or more of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where the beneficial owner is deemed high risk per NT risk rating requirements, the identity should be verified in line with its legal form.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

6. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify any beneficial owner of the investment.
7. One or more of the following:
  - Extract from the relevant company registry providing details of the company; and/or
  - Copy of the certificate of incorporation or equivalent of the company; and/or
  - Copy of the Memorandum and Articles of Association or equivalent of the company; and/or
  - Copy of the latest audited financial statements of the company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the company.
9. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) identify and verify the identity of any beneficial owner of the investment.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

### Government / Public Body

1. Please provide background information sourced from a reliable source (e.g. copy of the legal instrument establishing the government body/public authority).

**Where the applicant is not a Government Body or Public Authority in Ireland, please provide the following confirmations/documentation:**

1. Full name of the government body or public authority.
2. Nature and status of the government body or public authority.
3. Registered legal address of the government body or public authority.
4. Details of the home state of the public authority and details of the nature of its relationship with the public authority.
5. Evidence of ownership of the public authority.
6. Names of the main officials of the government body or public authority.
7. Where the country is deemed medium risk by Northern Trust identify and verify the identity of two officials or, where applicable, one official and one authorised signatory.
8. Appropriate background information on the government body or public authority.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

9. Photographic identity document and proof of address for 2 official of the government body or public authority.
10. Certified copy of the audited financial statements of the government body or public authority.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

### Pension Scheme

**Where the applicant is an Employee/Superannuation or similar scheme in one of the above referenced Equivalent Jurisdictions please provide the following confirmations from the trustees of the scheme:**

1. Confirmation that the Employee/Superannuation (or similar scheme) provides for retirement benefits to employees.
2. Confirmation that contributions of the Employee/Superannuation scheme are made by deduction from wages.
3. Confirmation that the rules of the Employee/ Superannuation scheme do not permit a member's interest under the scheme to be re-assigned.

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

**Where the applicant is not an Employee/Superannuation or similar scheme in one of the above referenced Equivalent Jurisdictions please provide the following confirmations/documentation:**

1. Full name of the pension scheme.
2. Registered legal address of the pension scheme.
3. One of the following:
  - Confirmation of registration of the pension scheme (as appropriate) from the relevant tax authorities or pensions board.

Or

- Identity of the controllers (trustees/ directors/ governors /board members or equivalent) of the scheme, Constitutional/Formation Document (e.g. Trust Deed) & appropriate background information e.g. via internet search.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

4. Identify and verify the identity of the scheme administrator and entity carrying out AML/CTF controls on scheme investors (per legal form); or
5. Receive written confirmation from the entity carrying out AML/CTF controls similar to that requested from a regulated third party undertaking due diligence.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Collective Investment Scheme

1. Please provide proof of listing on a regulated financial market.

**Where the applicant is a Collective Investment Scheme regulated as a designated person from one of the above referenced Equivalent Jurisdictions:**

1. Please provide proof of regulation.

**Where the Collective Investment Scheme ('CIS') is not either listed on a regulated financial market (as above) or regulated as a designated person in one of the above referenced Equivalent Jurisdictions please provide the following confirmations/documentation:**

1. Full name of the CIS.
2. Registered address of the CIS.
3. Prospectus or equivalent of the CIS.
4. Name and address of the promoter of the CIS.
5. Name and address of the administrator of the CIS.
6. Name and address of the entity carrying out due diligence on the investors in the CIS and confirmation that this entity is regulated for AML purposes.
7. Names of any investors (Beneficial Owners) who own more than 25% of the share capital of the CIS.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

8. Identify and verify the identity of any investors (beneficial owners) in the CIS who own more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the entity; or Receive written confirmation from the entity carrying out AML/CTF controls similar to that requested from a regulated third party undertaking due diligence.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Private and Unlisted Company

**Where the applicant is a Private Company/Company not listed on a regulated financial market please provide the following confirmations/documentation:**

1. Full name of the Company.
2. Registered number of the Company.
3. Registered legal address and principal business address of the Company.
4. Details of the directors of the Company.
5. Photographic identity document and proof of address for 2 directors or one director and one authorised signatory of the company
6. Identify and verify any beneficial owner beneficially entitled to 25% or more of the share capital, profit or voting rights or otherwise exercise control over the management of the entity. Where the beneficial owner is deemed high risk per NT risk rating requirements, the identity should be verified in line with its legal form.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc. reflecting the ownership and control structure).

Account Opening Form (entities)

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Initial:

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

7. One or more of the following:

- Extract from the relevant company registry providing details of the Company; and/or
- Copy of the certificate of incorporation or equivalent of the Company; and/or
- Copy of the Memorandum and Articles of Association or equivalent of the Company; and/or
- Copy of the latest audited financial statements of the Company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

8. Identify and verify the identity of any beneficial owner beneficially entitled to more than 10% of the share capital, profit or voting rights or otherwise exercise control over the management of the company.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Limited Liability Company

**Where the applicant is a Limited Liability Company (LLC) please provide the following confirmations/ documentation:**

1. Full name of the LLC.
2. Registered legal address and principal business address of the LLC.
3. Identify all managing members
4. Verify the identity of at least two managing members or, where applicable, one managing member and one authorised signatory.
5. Identify and verify any member beneficially entitled to 25% or more of the entity's share capital or otherwise exercise control over the management of the entity.
6. Constitutional document for the LLC (e.g. certificate of incorporation).
7. Operating agreement for the LLC
8. Identify and verify the identity of any member beneficially entitled to more than 10% of the entity's share capital or otherwise exercise control over the management of the entity.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Partnership

1. Full name of the Partnership.
2. Registered legal address and principal business address of the Partnership.
3. Identify all partners (where practical)
4. Verify the identity of all partners who own 25% or more of the partnership capital, profit or voting rights or otherwise exercise control over the management of the Partnership Fund.
5. Verify the identity of the general partner and a second partner (or in the absence of same an authorised signatory).

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc.).

6. Constitutional document for the Partnership (e.g. Partnership Agreement).

**Where the applicant is not a Partnership Fund or located in one of the aforementioned deemed high risk jurisdictions, the following documentation should also be provided:**

7. Identify and verify the identity of at least two partners or, where applicable, one partner and one authorised signatory.
8. Identify and verify the identity of any partners who own more than 10% of the partnership capital, profit or voting rights or otherwise exercise control over the management of the Partnership.

Note: Where the Beneficial Owner is a corporate entity, Northern Trust requires details of the ultimate Beneficial Owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register confirming the identity of the ultimate Beneficial Owner).

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## Trust

**Where the applicants are Regulated Trustees investing on behalf of a Trust or similar entity, please provide the following confirmations/documentation (only applicable for Trustees not located in a high risk jurisdiction):**

1. Full name of trust.
2. Country of establishment of trust.
3. Legal form of the trust.
4. Trust deed or equivalent or confirmation of the trust etc. by reference to an appropriate register.
5. Confirmation of the nature/purpose of the trust.
6. Identify all Trustees and verify the identity of at least two trustees where applicable.
7. Identify the settlor and verify the settlor where practicable.
8. Identify and verify the protector.
9. Identify the class of beneficiary or any beneficiary beneficially entitled to 25% or more of the trust's assets. (Verification of the class of beneficiary will only be required where payment is made directly to the beneficiary)
10. Identify and verify the identity of the beneficiaries who hold 25% or more of the beneficial interest where practicable.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc.).

**Where the applicants are not Regulated Trustees investing on behalf of a Trust, or where the applicant is domiciled in an aforementioned high risk jurisdiction the following confirmations/ documentation should also be provided:**

11. Identify and verify the identity of any partners who own more than 10% of the partnership capital, profit or voting rights or otherwise exercise control over the management of the Partnership.
12. Verify the identity of all trustees (i.e. in accordance with their legal structure or for each individual)

## Foundation

**Where the applicants are Regulated Trustees investing on behalf of a Foundation, please provide the following confirmations/documentation (only applicable for Trustees not located in a high risk jurisdiction):**

1. Full name of the Foundation or similar entity.
2. Registered address of the Foundation.
3. Confirmation of the legal form of the Foundation.
4. Constitutional documents equivalent OR confirmation of the foundation by reference to an appropriate register.
5. Identify and verify the founder and where practical and the nature/purpose of the foundation.
6. Identify all trustees, and verify the identity of two trustees, where applicable. Where the trustee is regulated proof of regulation should be placed on file.
7. Identify any beneficiary beneficially entitled to 25% or more of the foundation's assets.

Note: Where the beneficial owner is a corporate entity, Northern Trust requires details of ultimate beneficial owners (this can be demonstrated through an organisation chart signed by an authorised signatory or shareholder register or other company documentation etc.).

**Where the applicants are not Regulated Trustees investing on behalf of a Foundation or similar entity, or where the applicant is domiciled in an aforementioned high risk jurisdiction the following confirmations/documentation should be provided:**

1. Identify and verify the identity of the founder.
2. Confirmation of the funding of the foundation.
3. Identify and verify the identity of the beneficiaries who hold more than 10% of the beneficial interest, where practicable.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

## Charity

**Where the applicant is a registered Charity please provide the following confirmations/documentation:**

1. Nature/purpose of the charity
2. Nature and source of funding of the charity
3. Registered legal address of the charity & principal business address of the charity.
4. Names of all trustees/directors (or equivalent) of the charity.
5. Identify and verify the identity of the company secretary or equivalent or, where applicable, verify the identity of one of the trustees.
6. (If a charitable trust), details of the settlor of the charitable trust.
7. Names or classes of persons who stand to benefit from the objects of the charity.
8. Complete a check of a relevant charities register or source confirmation of the registration of the charity with the relevant tax authorities (e.g. UK Charities Commission/HMRC/ Guidestar).

Note: Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

**Where the applicant is not a registered Charity or where the applicant is domiciled in an aforementioned high risk jurisdiction please provide the following confirmations/documentation:**

9. Certified copies of the audited financial statements.
10. Certified constitutional/ formation document of the charity.
12. Identify and verify the settlor, where applicable.

**Note: Where the applicant is not a registered Charity, documentation provided should be certified as true copies of the original documentation.**

**Where the applicant is a Club or Society please provide the following confirmations/documentation:**

1. Full name of the Club/Society.
2. Registered legal address and principal business address of the Club/Society.
3. Confirmation of the legal status of the Club/Society.
4. Nature/Purpose including the nature of funding of the club/society.
5. Confirmation of the legal status of the club/society.
6. Constitutional/Formation Document (e.g. Trust Deed) and/or copy of audited financial statements (if available) or complete a check of a relevant register (i.e. FCA or the Mutual Public Register in the UK).
7. List of officers of the club/society.
8. Verify the identity of two officers of the Club/Society authorised to operation on behalf of the club/society or, where applicable, one officer and one authorised signatory.

Note: Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

9. Audited financial statements for the Club/Society.
10. Identify and verify the identity of all persons who own or control over 10% of the entity's share capital, profit or voting rights.
11. Confirmation of the funding of the club or society.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## School, College or University

**Where the applicant is a School, College or University please provide the following confirmations/ documentation:**

1. Full name of the School, College or University.
2. Registered legal address and principal business address of the School, College or University.
3. Background information on the School, College or University and confirmation of the legal status of the School, College or University.
4. Confirmation of the nature/purpose of the School, College or University with details of how the School, College or University is funded.



# Camissa Global Asset Management ICAV (the "ICAV") Account Opening Form

5. Obtain names of main officials of the school etc.
6. Identify and verify the identity of at least two officials or, where applicable, one official and one authorised signatory.
7. Details of ownership of the entity. In particular, confirmation if in public ownership or independent. Where independently owned, identify and verify all persons who own or control 25% or more of the entity's share capital, profit or voting rights.
8. Identify and verify the settlor, where applicable.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, the following is also required:**

9. Identify and verify the identity of all persons who own or control over 10% of the entity's share capital, profit or voting rights.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Church

**Where the applicant is a Church please provide the following confirmations/documentation:**

1. Full name of the Church.
2. Registered legal address and principal business address of the Church.
3. Confirmation of the nature/purpose of the Church with details of how the Church is funded.
4. Confirmation of a relevant church register or a copy of the revenue approval for the church;
5. Names of all trustees/directors (or equivalent) of the church.
6. Identify and verify the identity of the church secretary or equivalent or, where applicable, verify the identity of one of the trustees.
7. Names or classes of persons who stand to benefit from the objects of the church (where ascertainable).
8. Identify and verify the settlor where applicable.
9. Where there is a named individual beneficiary with a 25% interest or more, the beneficiary will need to be verified.
10. Audited financial statements for the Church.
11. Identify and verify the beneficiaries (where ascertainable)
12. Identify and verify the settlor where applicable.

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**

## Trade Union

**Where the applicant is a Trade Union please provide the following confirmations/documentation:**

1. Full name of the Trade Union.
2. Registered legal address and principal business address of the Trade Union.
3. Establish whether the trade union is registered or otherwise listed by a controlling body for regulatory or oversight purposes (e.g. Congress of Trade Unions).
4. Consider the legal form of the trade union, its stated purpose, aims and objectives using information from documents supplied for the trade union and through internet searches.
5. Deed or equivalent establishing the existence of the trade union (for example its constitution, bye-laws, rules).
6. Identify all persons and their functions who are empowered to make the investment on behalf of the trade union (president, secretary, treasurer and other senior committee members).

**Where the applicant is domiciled in an aforementioned high risk jurisdiction, documentation should be certified as true copies of the original.**