

Intermediary application form

Everything you need to know

Our contact details

Tel: 0800 864 418
Fax: 088 021 671 3112
Email: clientservice@camissa-am.com
Website: www.camissa-am.com

Postal address
P O Box 1016
Cape Town
8000

Physical address
5th Floor, MontClare Place
Cnr Campground & Main Roads
Claremont
7708

Completing this form

Complete all sections of this form indicating your selections with a tick mark (where applicable).

Please note that the onus is on the intermediary to inform us of any changes to personal details (including, but not limited to, bank account, address and contact details).

Should you require any assistance in completing this form, please contact our client service team on 0800 864 418 or email us at clientservice@camissa-am.com.

Instructions will only be processed once all requirements (as specified in this form) have been met. Fax the completed form and all supporting documents to +27 88 021 671 3112, or email clientservice@camissa-am.com.

Check list

Refer to our **Supporting Documents Required guide** for detailed information. In simple terms you will need:

- Signed and dated intermediary application form
- Documentation as per Section C

* These documents must be less than three months old

Important information

The Camissa unit trust fund range is offered by Camissa Collective Investments (RF) Limited (Camissa), registration number 2010/009289/06. Camissa is a member of the Association for Savings and Investment SA (ASISA) and is a registered management company in terms of the Collective Investment Schemes Control Act, No 45 of 2002. Camissa is a subsidiary of Camissa Asset Management (Pty) Limited [a licensed financial services provider], the investment manager of the unit trust funds. Camissa has the right to refuse an application if it considers an investor to be a high risk and/or especially sanctioned client.

Investments in the selected Collective Investment Schemes in Securities (unit trusts) are made and administered subject to the provisions of the Collective Investment Schemes Control Act No. 45 of 2002, in accordance with the deeds of such funds and subject to each scheme's fee structure as amended from time to time. Based on Exchange Control Regulations, certain unit trust portfolios are subject to availability. All of the funds listed in our fund range (on page 7) may be capped at any time in order for them to be managed according to their respective mandates. Unit trusts are generally medium to long-term investments. The value of units will fluctuate in line with market and exchange rate movements. Past performance should not be used as a guide for future performance. Unit trusts are traded at ruling prices, which are calculated on a Net Asset Value (NAV) basis. NAV refers to the value of the fund's assets less the value of its liabilities. The Camissa funds, with the exception of the Camissa Islamic funds, may engage in scrip lending and borrowing (up to 10% of the value of the fund). Camissa will engage in borrowing if a fund has insufficient cash or if its assets cannot be released to repurchase or cancel units. All funds are valued and priced at 15:00 each business day and at 17:00 on the last business day of the month.

Intermediary application form

I/we hereby apply to be appointed as an intermediary to promote and market selected Camissa products. Unless the context indicates otherwise, expressions used herein, which are defined in the Terms and Conditions in Annexure A, shall have the same meaning as that set out in the Terms and Conditions.

A Intermediary's details

Registered name of business

Trading name

Registration number / /

VAT number

FSP licence number

Income tax number

Contact details

Postal address

Code

Physical address

Code

Telephone number

Fax number

Cell number

Website address

Email address

Business status

Sole proprietor/Natural person Pty Ltd Partnership Close Corporation

Public Company Other (please specify)

Number of years established

Business references (please list three)

Contact name	Company name	Contact number

Initial: _____

B List of representatives and key individuals

Surname	<input type="text"/>	
First name(s)	<input type="text"/>	Title <input type="text"/>
ID/passport number (if foreign national)	<input type="text"/>	(please send certified copy)
Surname	<input type="text"/>	
First name(s)	<input type="text"/>	Title <input type="text"/>
ID/passport number (if foreign national)	<input type="text"/>	(please send certified copy)
Surname	<input type="text"/>	
First name(s)	<input type="text"/>	Title <input type="text"/>
ID/passport number (if foreign national)	<input type="text"/>	(please send certified copy)
Surname	<input type="text"/>	
First name(s)	<input type="text"/>	Title <input type="text"/>
ID/passport number (if foreign national)	<input type="text"/>	(please send certified copy)

Please supply additional advisers' information on an additional page.

C Checklist of information and supporting documentation required

Copies of the supporting documentation will only be accepted if all text and photographs are clearly legible

Part one

a) Information to be specified in respect of **every** manager, close corporation member, partner and person authorised to transact on the entity's behalf:

- Full names
- ID/passport number (if foreign national)
- Date of birth
- Residential address
- Contact details (including email address)

b) Supporting documentation required in respect of **every** manager, close corporation member, partner and person authorised to transact on the entity's behalf:

- Copy of ID/passport (if foreign national)

c) Supporting documentation required in respect of companies, close corporations, partnerships and other legal entities:

- Proof of SA income tax number (eg any document issued by SARS bearing name and tax number)
- Proof of VAT number (eg any document issued by SARS bearing name and VAT number)
- Proof of registered address (eg registration documents)
- Proof of business address (eg bank statement, utility bill or telephone account)
- Proof of trading name (eg copy of a letterhead)
- Proof of banking details (eg bank statement or cancelled cheque less than three months old)

Initial: _____

d) Additional supporting documentation required in respect of a company:

- Copy of Certificate of Incorporation (CM1) bearing Registrar's stamp and company secretary's signature or similar official documentation for foreign companies
- Copy of Notice of Registered Office and Postal Address (CM22)

e) Additional supporting documentation required in respect of a close corporation:

- Founding Statement and Certificate of Incorporation (CK1) bearing Registrar's stamp and Company Secretary's signature or similar official documentation for foreign companies
- Amended Founding Statement (CK2)

f) Additional supporting documentation required in respect of other legal entities:

- Constitution or founding document

Part two (if applicable)

a) Information to be specified in respect of every holder of 25% or more of the voting rights within the entity:

If such a holder is a **natural person**:

- Full names
- ID/passport number (if foreign national)
- Date of birth
- Residential address
- Contact details

If such a holder is a **company/foreign company/close corporation/partnership/trust**:

- Registered name
- Trading name
- Legal form
- Contact name
- Registration number (if applicable)
- Registered address
- Business address
- Contact details

b) Supporting documentation required in respect of every holder of 25% or more of the voting rights within the entity:

If a **natural person**:

- ID/passport number (if foreign national)

If a **company (SA or foreign)/close corporation/partnership/trust/other legal entity**:

- Proof of business address (eg bank statement, utility bill or telephone account)
- Proof of registered address (eg registration document)
- Proof of trading name (eg copy of a letterhead)

If a **company**:

- Copy of Certificate of Incorporation (CM1) and of Notice of Registered Office and Postal Address (CM22)

Initial: _____

If a **foreign company**:

- Copy of official document issued by an authority for recording the incorporation of companies

If a **close corporation**:

- Copy of Founding Statement, Certificate of Incorporation (CK1) and Amended Founding Statement

If a **partnership**:

- Copy of partnership agreement

If another **legal entity**:

- Copy of constitution or founding document

If a **trust**:

- Copy of trust deed and authority give by the Master of the High Court

D Payment of fees

Instructions for reinvestment of fees payable by Camissa for the selected products as referred to in item A of Annexure A of the attached Terms and Conditions. **Refer to Annexure A of this form for a summary of our fund range.**

- | | | |
|--|--|---|
| <input type="checkbox"/> Camissa Equity Alpha Fund | <input type="checkbox"/> Camissa SA Equity Fund | <input type="checkbox"/> Camissa Islamic Equity Fund |
| <input type="checkbox"/> Camissa Top 40 Tracker Fund | <input type="checkbox"/> Camissa Islamic Balanced Fund | <input type="checkbox"/> Camissa Islamic High Yield Fur |
| <input type="checkbox"/> Camissa Stable Fund | <input type="checkbox"/> Camissa Balanced Fund | <input type="checkbox"/> Camissa Protector Fund |

E Banking details

Bank Account number

Branch Branch code

Type of account Current Transmission Savings

Name of account holder

Authorised person's signature

Date

If you are an authorised person, please attach proof of authorisation.

Authorised person's name

Capacity

Please note: A cancelled cheque or recent bank statement (not older than three months) must accompany this application. Any changes to the banking details must be sent to Camissa in writing, together with proof. Payments will not be made to third-party accounts, including credit cards and money market accounts.

F Communication received from us

Please specify how you wish to receive the following:

- Your commission statements Email or Post
- Our quarterly publication, UP Email or Post

Initial: _____

G Foreign Account Tax Compliance

South Africa has entered into an intergovernmental agreement with the United States of America (USA). This requires South African financial institutions to comply with the Foreign Account Tax Compliance Act (FATCA). As a result, we are required by law to obtain the following additional information:

1. Was the investor established in the USA? Yes No
2. Is the investor operating in the USA? Yes No
3. Is the controlling entity of the investor a USA entity? Yes No
4. Does the investor have a US Taxpayer Identification Number (TIN)? Yes No

TIN no

5. Tax regulations require us to collect certain information about each investor's tax residency and tax classifications. We may be obliged to provide information about your account(s) to the relevant tax authorities depending on your tax residency and classification.
If you have any questions about the tax classification, please contact your tax advisor. Brief guidance has been provided in the Investment Guide.

Legal entity's classification

A legal entity must be classified as a financial institution or as a non-financial institution. Please complete either section 5.1. or 5.2. to confirm the legal entity's classification.

- 5.1. If the legal entity is a financial institution, please choose a classification from the list below that describes the entity:

- South African Financial Institution/Partner Jurisdiction Financial Institution
- Participating Foreign Financial Institution
- Non-Participating Foreign Financial Institution
- Entity Resident in the United States of America, or in a United States Territory
- Deemed Compliant Foreign Financial Institution (apart from those listed above)
- Exempt Beneficial Owner

If the legal entity has registered as a financial institution with the US Internal Revenue Service (IRS) and received a Global Intermediary Identification Number (GIN), please provide the GIN below.

- 5.2. If the legal entity is a non-financial institution, please tick the appropriate box below:

- An "Active Non-Financial Institution"
- A "Passive Non-Financial Institution"

Initial: _____

H Declaration

- I hereby consent (where applicable to me as a registered VAT vendor) to Camissa using self-invoicing and confirm that I will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me by Camissa.
- I warrant the above information to be correct. Camissa shall not be liable for any loss or damage suffered due to incorrect information provided by me, or a result of a change in my information, or my misrepresentation or my involvement in any fraudulent act.
- I undertake to advise Camissa in writing should any of the details completed herein change subsequent to me signing this form.
- I further acknowledge that this form, together with the Intermediary Terms and Conditions, form the basis upon which Camissa appoints me and I agree to be bound by these Terms and Conditions.
- I accept that Camissa may verify my identity via a credit bureau.
- I accept that Camissa reserves the right not to appoint me as Intermediary for whatever reason.
- I acknowledge and accept that the Agreement will only be concluded when an authorised representative of Camissa has signed this form and I have received written confirmation that the Agreement has been concluded.
- For the purposes of the Protection of Personal Information Act No. 4 of 2013 (POPI), I consent and acknowledge that all personal data provided by me to Camissa or its independent third-parties (such as administrators) and its respective employees, may be used to enable each of the aforesaid entities to carry out their respective duties and obligations in relation to this investment or as may be permitted under POPI. Information will be shared if required by SARS or other tax authority with which South Africa has signed an Intergovernmental Agreement.

Signed	<input type="text"/>		
Name	<input type="text"/>	Capacity	<input type="text"/>
Place	<input type="text"/>	Date	<input type="text"/>

I Acceptance by Camissa - for office use only

Date	<input type="text"/>	Place	<input type="text"/>
Signed	<input type="text"/>	Approved	<input type="text"/>
Name	<input type="text"/>	Name	<input type="text"/>
Capacity	<input type="text"/>	Capacity	<input type="text"/>

Initial: _____

Our fund range

The Camissa unit trust fund range is offered by Camissa Collective Investments (RF) Limited (Camissa), registration number 2010/009289/06. Camissa is a member of the Association for Savings and Investment SA (ASISA) and is a registered management company in terms of the Collective Investment Schemes Control Act, No 45 of 2002. Camissa is a subsidiary of Camissa Asset Management (Pty) Limited [a licensed financial services provider (FSP No. 784)], the investment manager of the unit trust funds.

	Camissa Equity Alpha Fund	Camissa SA Equity Fund	Camissa Islamic Equity Fund	Camissa Top 40 Tracker Fund	Camissa Balanced Fund	Camissa Islamic Balanced Fund	Camissa Protector Fund	Camissa Stable Fund	Camissa Islamic High Yield Fund	Camissa Islamic Global Equity Feeder Fund
Category	South African - Equity - General	ASISA South African Equity General	South African - Equity - General	South African - Equity - Large Cap	South African - Multi Asset - High Equity	South African - Multi Asset - High Equity	South African - Multi Asset - Medium Equity	South African - Multi Asset - Low Equity	South African - Multi Asset - Income	Global - Equity General
Fund objective	To provide strong capital growth and a total portfolio return that is in the top quartile for general equity funds.	To deliver strong capital growth and a portfolio return that is significantly better than the average general equity fund.	A Shariah compliant fund that aims to provide steady capital growth and a total portfolio return that is better than the average general equity fund.	To track the movements and replicate the performance of the FTSE/JSE Top 40 Index over time.	To provide investors with high long-term capital growth, within the constraints of the statutory investment restrictions for retirement funds. The fund seeks to provide a moderated exposure to volatility in the short term.	A Shariah fund that aims to provide steady long-term returns and capital growth. The fund seeks to provide a moderated exposure to volatility in the short term.	To provide steady capital growth and returns that are better than equity market returns on a risk adjusted basis over the medium to longer term.	To provide total returns that are in excess of inflation over the medium term. It seeks to provide a high level of capital stability and to minimise loss over any one year period, within the constraints of the statutory investment restrictions for retirement funds.	A Shariah-compliant fund that aims to provide capital stability and optimal income returns. In order to achieve its objectives, the fund will be using short-term, medium term and long-term income generating securities as outlined by the constraints of the restrictions for retirement savings.	The portfolio will be a Feeder Fund. The investment objective of the portfolio is to achieve optimum risk adjusted total returns by providing investors with exposure to an international collective investment scheme portfolio comprising a diversified mix of global equity and equity-related securities.
Inception dates	26 April 2004	1 September 2022	13 July 2009	1 August 1997	3 May 2011	3 May 2011	11 December 2002	3 May 2011	12-Mar-19	7 January 2019
Portfolio manager	Gavin Wood	Gavin Wood	Abdul Davids	Aslam Dalvi	Gavin Wood	Abdul Davids	Simon Anderssen	Gavin Wood	Abdul Davids	Abdul Davids
Benchmark	South African - Equity - General funds mean	South African - Equity - General funds mean over a rolling 12-month period	South African - Equity - General funds mean	FTSE/JSE Top 40 Index	South African - Multi Asset - High Equity funds mean	South African - Multi Asset - High Equity funds mean	CPI + 4%	Total return of CPI + 2% pa	Short-term Fixed Interest Index (STeFI)	Global Equity General funds mean
Minimum lump sum	R5 000	R5 000	R5 000	R5 000	R5 000	R5 000	R5 000	R5 000	R5 000	R20 000
Minimum debit order	R500	R500	R500	R500	R500	R500	R500	R500	R500	Not available
Risk profile	Medium - high	Medium - high	Medium - high	Medium - high	Medium	Medium	Low - medium	Low	Low	Medium-high
Annual service fee (excl. VAT)	1.25%*	1.25%*	1.25%	0.50%	1.25%	1.25%	1.25%	1.25%	0.50%	1.35%
Upfront fee (excl. VAT)	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 0%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 3%	Camissa - 0% Broker max - 0%	Camissa - 0% Broker max - 3%
Annual ongoing advice fee (excl. VAT)	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 1%	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 0.25%	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 1%, but if upfront fee > 1.5%, then max 0.5%	Max 0.5%	Max 1%, but if upfront fee > 1.5%, then max 0.5%

* Performance fee: 10% of fund's outperformance of benchmark over rolling 12-month periods. Total fee (annual management fee plus performance fee) to be capped at 2%.